

Under the authority contained in Chapter 436.470.2, which states in part, "The board shall conduct a financial examination of the books and records of each seller as authorized by this section at least once every five years", we have scheduled an examination of the preneed records of your preneed seller business.

This notice is given to allow for you and your staff to provide the necessary records we will need to complete the examination.

Please submit the following information to our office no later than January 28, 2011.

- A current statement from your state or federally chartered financial institution/s authorized to exercise trust powers in Missouri of any preneed trust account/s that you have identifying the payments, earnings, and distributions for each active preneed contract.
- A current statement from any/all applicable insurance companies with which you have insurance-funded preneed contracts for each active preneed contract.
- A current statement from your financial institution/s of preneed joint account/s for each active preneed contract.
- A copy of a ledger or computerized report showing all outstanding preneed contracts.
- Copies of agreement(s) with providers, agents, funeral director agents and if any contracts are funded by trust a copy of the trust agreement with the trustee.
- A copy of the trust agreement with the financial institution for any preneed trust.
- A blank preneed contract currently used by you as a seller.